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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei Yamazaki et al.                      Art Unit : 2826  
Serial No. : 10/753,524                                      Examiner : Johannes P. Mondt  
Filed : January 9, 2004  
Title : SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE SAME

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

Submitted herewith is an English translation of the following foreign language references, or portions thereof:

Desig. ID	Source
AP	Japan 06-268212 – English abstract and family to U.S. Patent No. 5,569,936 (Desig. ID “AC”) and U.S. Patent No. 5,595,923 (Desig. ID “AD”).
AQ	Japan 06-318701 – English abstract and family to U.S. Patent No. 5,569,610 (Desig. ID “AB”), U.S. Patent No. 5,614,733 (Desig. ID “AE”), U.S. Patent No. 5,783,468 (Desig. ID “AG”) and U.S. Patent No. 6,642,073 (Desig. ID “AM”).
AR	Japan 07-130652 – English abstract and family to U.S. Patent No. 5,643,826 (Desig. ID “AF”), U.S. Patent No. 5,923,962 (Desig. ID “AJ”), U.S. Patent No. 6,285,042 (Desig. ID “AK”), U.S. Patent No. 6,335,541 (Desig. ID “AL”), U.S. Patent Application Publication No. US 2002-053670 (Desig. ID “AA”), European Patent No. 0 651 431 (Desig. ID “AN”), and European Patent No. 1 158 580 (Desig. ID “AO”).

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218003 / US3531/3615D1D1


AS	Japan 08-078690 – English abstract and family to U.S. Patent No. 5,858,823 (Desig. ID “AI”).
AT	Japan 09-045931 – English abstract and family to U.S. Patent No. 5,789,762 (Desig. ID “AH”).
AU	Japan 09-092834 – English abstract

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. Each item of information in this statement, with the exception of references “AA” to “AO”, (i) was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated September 13, 2005, which is not more than three months prior to the filing of this statement, and (ii) was not first cited in any communication from a foreign patent office in a counterpart application which was more than three months prior to the filing date of this statement. References “AA” to “AO” are English-language documents corresponding to references “AP” to “AT” as set forth above, and are cited in accordance with MPEP 609(III)(B)(5).

No fees are believed to be due. Please apply any charges or credits to Deposit Account No. 06-1050.

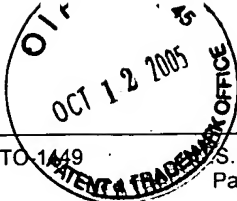
Respectfully submitted,

Date: 10/12/05



John F. Hayden  
Reg. No. 37,640

**Customer No. 26171**  
Fish & Richardson P.C.  
1425 K Street, N.W. - 11th Floor  
Washington, DC 20005-3500  
Telephone: (202) 783-5070  
Facsimile: (202) 783-2331  
/adt  
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Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. <b>07977-218003</b>	Application No. <b>10/753,524</b>
	Applicant <b>Shunpei Yamazaki et al.</b>		
	Filing Date <b>January 9, 2004</b>	Group Art Unit <b>2826</b>	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2002-0053670	05/09/2002	OHTANI et al.			12/27/2001
	AB	5,569,610	10/29/1996	ZHANG et al.			03/08/1994
	AC	5,569,936	10/29/1996	ZHANG et al.			03/08/1994
	AD	5,595,923	01/21/1997	ZHANG et al.			06/06/1995
	AE	5,614,733	03/25/1997	ZHANG et al.			12/12/1994
	AF	5,643,826	07/01/1997	OHTANI et al.			10/25/1994
	AG	5,783,468	07/21/1998	ZHANG et al.			04/10/1996
	AH	5,789,762	08/04/1998	KOYAMA et al.			09/12/1995
	AI	5,858,823	01/12/1999	YAMAZAKI et al.			12/18/1996
	AJ	5,923,962	07/13/1999	OHTANI et al.			04/28/1995
	AK	6,285,042	09/04/2001	OHTANI et al.			09/12/1997
	AL	6,335,541	01/01/2002	OHTANI et al.			04/15/1996
	AM	6,642,073	11/04/2003	ZHANG et al.			07/09/1998

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	AN	EP0 651 431	03 MAY 1995	EUROPE			In English	
	AO	EP1 158 580	28 NOV 2001	EUROPE			In English	
	AP	JP06-268212	22 SEP 1994	JAPAN			Abstract	
	AQ	JP06-318701	15 NOV 1994	JAPAN			Abstract	
	AR	JP07-130652	19 MAY 1995	JAPAN			Abstract	
	AS	JP08-078690	22 MAR 1996	JAPAN			Abstract	
	AT	JP09-045931	14 FEB 1997	JAPAN			Abstract	
	AU	JP09-092834	04 APR 1997	JAPAN			Abstract	

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AV	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	